



ACCOUNT OPENING FORM - CORPORATE CLIENTS (V1)

Before we can open an account for your company (the “Company”), we are obliged by law to obtain certain information from you concerning the Company’s status and activities. This information will enable us to determine whether our services are suitable for the Company, based on its investment objectives, financial circumstances, previous investment experience and expertise. In addition, this information is required in order to comply with the relevant money laundering regulations.

This form and the information set out in it are part of the Client Agreement between the Company and MEX Clearing Limited. This form constitutes the Company’s offer to MEX Clearing to receive the services provided by MEX Clearing. MEX Clearing will confirm acceptance of this offer when its account opening procedures have been completed. MEX Clearing reserves the right at its absolute discretion to decline to open any account without having to disclose any reason.

Please complete all sections of this form. If you have any queries or require any assistance please refer to your MEX Clearing representative or contact our office in London at the address shown.

1. COMPANY REGISTRATION, ADDRESS AND CONTACT DETAILS

Company name and registration details:

Company name:

Registered number:

Trading name:

Date of incorporation:

Country of incorporation:

Business activities:

Registered Office:

Street/No.:

Town/City:

Postcode:

Country:

MEX Clearing^{III}

Correspondence address (if different from your Registered Office):

Street/No.:

Town/City:

Postcode:

Country:

Contact details:

Telephone:

Fax:

E-mail:

Preferred means of communication: **[Telephone / Fax / E-mail / Post]**

2. BANKING DETAILS

Please provide details of the bank account(s) that will be used to transfer funds to or from your account (use a separate sheet for additional accounts, if required).

Please note that all funds deposited into your trading account must have been received from the Company's own bank account, and all withdrawals from your trading account can only be sent to the Company's own bank account. We cannot accept payments from any third party, and we cannot make payments from your trading account to any third party.

Name and Branch of Bank:

Address:

Street/No.:

Town/City:

MEX Clearing^{III}

Postcode:

Country:

Account name:

IBAN / Account number:

Swift / Sort Code:

Currency:

3. FINANCIAL CIRCUMSTANCES

Please indicate the Company's annual turnover and net worth.

Annual turnover (choose one): **[\$0-1 million / \$1-10 million / Over \$10 million]**

Net worth (choose one): **[\$0-1 million / \$1-10 million / Over \$10 million]**

4. INVESTMENT OBJECTIVES, INTENTIONS AND RESTRICTIONS

Please indicate the Company's primary investment objective.

Primary investment objective: **[Speculation / Hedging / Capital Appreciation]**

Please indicate which types of investments the Company wishes to trade.

Financial CFD's: **[Yes / No]**

Commodity CFD's: **[Yes / No]**

Foreign Exchange **[Yes / No]**

Other (please specify)

.....

5. INVESTMENT EXPERIENCE AND UNDERSTANDING

MEX Clearing^{III}

Please indicate your previous experience and average frequency of dealing in relation to each of the following types of investments (choose one answer in each case).

Financial Futures

Length of experience: **[Less than 1 year / 1-3 years / More than 3 years]**

Frequency of dealing: **[Daily / Weekly / Monthly / Less frequently]**

Commodity Futures

Length of experience: **[Less than 1 year / 1-3 years / More than 3 years]**

Frequency of dealing: **[Daily / Weekly / Monthly / Less frequently]**

Financial Options

Length of experience: **[Less than 1 year / 1-3 years / More than 3 years]**

Frequency of dealing: **[Daily / Weekly / Monthly / Less frequently]**

Commodity Options

Length of experience: **[Less than 1 year / 1-3 years / More than 3 years]**

Frequency of dealing: **[Daily / Weekly / Monthly / Less frequently]**

Cash Foreign Exchange / OTC Products

Length of experience: **[Less than 1 year / 1-3 years / More than 3 years]**

Frequency of dealing:**Transactions PerDay / Month / Quarter**

Typical deal size **[Less than 1.00/ Less than 5.00/ Greater than 5.00]**
(Million of \$'s)

6. WARRANTS & COVENANTS

(a) Is your company, directors or beneficial owners currently or expected to be the defendant in any legal case (pending or otherwise) with another regulated entity (ies) over any unpaid sums however caused.

MEX Clearing^{III}

[Yes / No] (if Yes please provide written details)

(b) Can any of your company's Officers or Beneficial owners be classified as a "Politically Exposed Person" under Anti-Money Laundering principals.

[Yes / No] (if Yes please provide written details)

7. BASE CURRENCY

Please specify a base currency for our Agreement. If you do not select a base currency here, the base currency will be US Dollars.

Base Currency (choose one): **[US Dollars / Euro / Pound Sterling / Swiss Francs / Japanese Yen]**

8. DIRECTORS

Director/Company Secretary

Title:

First Name:

Last Name:

Address:

Street/No.:

Town/City:

Postcode:

Country:

Director

Title:

First Name:

Last Name:

MEX Clearing^{III}

Address:

Street/No.:

Town/City:

Postcode:

Country:

Director

Title:

First Name:

Last Name:

Address:

Street/No.:

Town/City:

Postcode:

Country:

Corporate Identification Documents Required

Owner and Controller Identification

Please supply a recent (Within six months) Certificate of Incumbency detailing the current directors and shareholders of the company. Dependent on jurisdiction the identification of owner(s) and controller(s) documentation may differ.

Please ensure you provide “independent” documents which identify such parties i.e. Central Registrar, Appointed Registrar, or Government Database Extracts/Reports as appropriate to the jurisdiction of incorporation.

MEX Clearing^{III}

Identification documents for authorised signatories and other key individuals

Please supply us with evidence of the identity and address of each shareholder with a holding of more than 10% and director(s) of the company.

These should include original documents or certified copies:

Passport, Current driving licence or National Identity card
utility bill – Not a mobile phone bill (Within 6 months) or Bank statement

We reserve the right to ask for additional documentation if we consider it necessary to comply with anti-money laundering or other regulations.