



**ACCOUNT OPENING FORM – INDIVIDUAL ACCOUNT (V1)**

Before we can open an account for you (the “Client”), we are obliged by law to obtain certain information from you concerning your personal details. This information will enable us to determine whether our services are suitable for you, based on your investment objectives, financial circumstances, previous investment experience and expertise. In addition, this information is required in order to comply with the relevant money laundering regulations.

This form and the information set out in it are part of the Client Agreement between the Client and MEX Clearing. This form constitutes the client’s offer to MEX Clearing to receive the services provided by MEX Clearing . MEX Clearing will confirm acceptance of this offer when its account opening procedures have been completed. MEX Clearing reserves to the right at its absolute discretion to decline to open any account without having to disclose any reason.

Please complete all sections of this form. If you have any queries or require any assistance please refer to your MEX Clearing representative or contact our office in London at the address shown.

**1. INDIVIDUAL ADDRESS AND CONTACT DETAILS**

Your name & address details:

Title: .....

First name: .....

Last name: .....

Address: .....

.....

.....

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Nationality: .....

Passport No.: .....

Correspondence address (if different from your Residential Address):

Street/No.: .....

Town/City: .....

Post Code: .....

Country: .....

Contact details:

Telephone: .....

Fax: .....

E-mail: .....

Preferred means of communication: **[Telephone / Fax / E-mail / Post]**

Preferred means for receipt of trading statements: **[Fax / E-mail / Post]**

## 2. PERSONAL INFORMATION

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Date of birth: .....

Occupation: .....

Job Title: .....

Employer: .....

### 3. BANKING DETAILS

Please provide details of the bank account(s) that will be used to transfer funds to or from your account (use a separate sheet for additional accounts, if required).

Please note that all funds deposited into your trading account must have been received from your own bank account (we cannot accept funds from a joint bank account), and all withdrawals from your trading account can only be sent to your own bank account. We cannot accept payments from any third party, and we cannot make payments from your trading account to any third party.

Name and Branch of Bank: .....

Address: Street/No.: .....

Town/City: .....

Postcode: .....

Country: .....

Account name: .....

Account number: .....

Swift / Sort Code: .....

Currency: .....

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## 4. FINANCIAL CIRCUMSTANCES

Please indicate your net worth and annual income.

Annual Income:            **[\$0 - \$0.25 million / \$0.25 - \$1 million / Over \$1 million]**

Net worth                    **[\$0 - \$0.25 million / \$0.25 - \$1 million / Over \$1 million]**

## 5. INVESTMENT OBJECTIVES AND TRADING RESTRICTIONS

Please indicate your primary investment objective.

Primary investment objective:            **[Speculation / Hedging]**

Please indicate which types of investments you wish to trade.

Financial Futures:                    **[Yes / No]**

Commodity Futures:                    **[Yes / No]**

Financial Options:                    **[Yes / No]**

Commodity Options:                    **[Yes / No]**

Foreign Exchange                    **[Yes / No]**

Other (please specify)                    .....

## 6. INVESTMENT EXPERIENCE AND UNDERSTANDING

Please indicate your previous experience and average frequency of dealing in relation to each of the following types of investments (choose one answer in each case).

Financial Futures

Length of experience:                    **[Less than 1 year / 1-3 years / More than 3 years]**

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Frequency of dealing: **[Daily / Weekly / Monthly / Less frequently]**

Commodity Futures

Length of experience: **[Less than 1 year / 1-3 years / More than 3 years]**

Frequency of dealing: **[Daily / Weekly / Monthly / Less frequently]**

Financial Options

Length of experience: **[Less than 1 year / 1-3 years / More than 3 years]**

Frequency of dealing: **[Daily / Weekly / Monthly / Less frequently]**

Commodity Options

Length of experience: **[Less than 1 year / 1-3 years / More than 3 years]**

Frequency of dealing: **[Daily / Weekly / Monthly / Less frequently]**

Cash Foreign Exchange / OTC Products

Length of experience: **[Less than 1 year / 1-3 years / More than 3 years]**

Frequency of dealing: **[Daily / Weekly / Monthly / Less frequently]**

Typical deal size **[Less than 1.00/ Less than 5.00/ Greater than 5.00]**  
(Million of \$'s)

## 7. WARRANTS & COVENANTS

- (a) Are you currently or expected to be the defendant in any legal case (pending or otherwise) with another regulated entity(ies) over any unpaid sums however caused.

**[Yes / No]** (if YES please provide written details)

- (b) Can you be classified as a "Politically Exposed Person" under Anti-Money Laundering principals.

**[Yes / No]** (if YES please provide written details)

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## 8. BASE CURRENCY

Please specify a base currency for our Agreement. If you do not select a base currency here, the base currency will be US Dollars.

Base Currency (choose one): **[US Dollars / Euro / Pound Sterling / Swiss Francs / Japanese Yen]**

## 9. Supporting Documentation

Please supply certified copies for both of the following:

**Identification:** Passport / State ID / Drivers Licence.

**Proof of address:** Utility Bill / Bank Statement (within the last 6 months)